

Arizona State Land Department
Inventory of Fees

Trust Management and Revenue Generation Program

Fee	Description	Type	FY '13 Fund	Statutory or Rule Reference	Last Changed	Current Fee Charge	FY '08 Revenues	FY '09 Revenues	FY '10 Revenues	FY '11 Revenues	FY '12 Revenues
Agriculture & Grazing - New (per section or fraction thereof)	Application to lease land for agriculture or grazing	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 150.00	\$ 2,150.00	\$ 2,450.00	\$ 3,000.00	\$ 6,850.00	\$ 13,200.00
Agriculture & Grazing - Renewal	Application to renew lease for agriculture or grazing	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 200.00	\$ 9,900.00	\$ 19,500.00	\$ 14,100.00	\$ 19,800.00	\$ 43,200.00
Commercial - New (10 years or less)	Application to lease land for commercial purposes for 10 years or less	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ 2,650.00	\$ 12,000.00	\$ 7,100.00	\$ 10,000.00	\$ 4,500.00
Commercial - New Long-Term (more than 10 years)	Application to lease land for commerical purposes for more than 10 years	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 2,000.00	\$ 1,400.00	\$ 32,600.00	\$ 31,200.00	\$ 14,000.00	\$ 2,000.00
Commercial - Renew (includes homesites)	Application to renew commercial leases	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ 3,000.00	\$ 13,100.00	\$ 8,200.00	\$ 26,903.00	\$ 25,400.00
Complete Assignment to an entity 100% Controlled by Assignor or Family Member	Transferring ownership of a lease, permit or right of way from one family member to another or to an entity controlled by the Assignor	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ -	\$ -	\$ -	\$ -	\$ -
Partial assignment for long-term Commercial Lease only (more than 10 years)	Partial transfer of land within in a long term commercial lease to another entity	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 2,500.00	\$ 600.00	\$ 2,500.00	\$ 2,700.00	\$ 2,500.00	\$ 2,500.00
All Other Assignments	Transferring ownership of a lease, permit or right of way to other than a family member or entity controlled by the Assignor; or a partial transfer of land within a permit, right of way or short term (10 years or less) lease.	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ 42,448.00	\$ 92,053.00	\$ 117,950.00	\$ 225,500.00	\$ 233,300.00
Application to Place Improvement	Lessee's request for approval to place permanent improvements on State land	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 150.00	\$ -	\$ -	\$ -	\$ -	\$ -
Application to place Improvement without Prior Approval	Lessee's notification that a permanent improvement was placed on State land without prior approval	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 200.00	\$ 5,000.00	\$ 14,150.00	\$ 7,950.00	\$ 11,700.00	\$ 13,050.00
Application for Land Treatment	Lessee's request for approval to treat (chemical, mechanical or prescribed burn) State land	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 150.00	\$ 950.00	\$ 2,500.00	\$ 1,950.00	\$ 2,750.00	\$ 2,100.00
Special Land Use Permit - New or Renew	Request for temporary use of State land with no permanent improvements allowed	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 300.00	\$ 21,795.00	\$ 53,575.00	\$ 33,850.00	\$ 61,650.00	\$ 48,903.00
Non-Commercial Sovereign Land Boat DOCK/Launch Ramp Permit	Request to use sovereign land under the Colorado River from its centerline to the Ordinary High Water Mark for the purpose of placing a private boat dock or launch ramp	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 100.00	\$ -	\$ 4,950.00	\$ 3,500.00	\$ 3,100.00	\$ 2,100.00
Recreational Annual Use Permit - Individual	Fee for individuals to recreate on STL	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 15.00	\$ -	\$ -	\$ -	\$ -	\$ -
Recreational Annual Use Permit - Immediate Family Unit (2 adults & Children under age 18)	Fee for Family Units to recreate on STL	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 20.00	\$ 218,985.00	\$ 318,955.00	\$ 155,185.00	\$ 141,415.00	\$ 143,485.00
Recreational Annual Use Permit - Group (Less Than 5 days, Less Than 20 people	Feee for Groups to recreate on STL	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 15.00	\$ 2,955.00	\$ 700.00	\$ 630.00	\$ 450.00	\$ 345.00
Urban Planning Permit Permit - Classification	Request to classify urban lands suitable for planning	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ -	\$ -	\$ -	\$ -	\$ -
Urban Planning Permit Permit - Development	Request for a planning permit for the purpose of preparing a development plan	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ -	\$ -	\$ -	\$ -	\$ -
Sublease	Lessee's request and permission to allow the sub-leasing on all or part of the State lands being leased	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 200.00	\$ 6,750.00	\$ 18,800.00	\$ 14,550.00	\$ 19,900.00	\$ 20,200.00
Amendments for Commercial lease - 10 years or less	Request to amend terms, conditions or purpose of a short term commercial lease or amend lease to relinquish land or combine leases	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ 200.00	\$ 2,750.00	\$ 500.00	\$ 1,700.00	\$ 600.00
Amendments for Commercial lease - More than 10 years	Request to amend terms, conditions or purpose of a long term commercial lease or amend lease to relinquish land	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ 200.00	\$ 1,000.00	\$ -	\$ 3,000.00	\$ -
Right of Way - New or Renew	Application to be able to build a road through State Trust Land or to cross it temporarily	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ 31,636.00	\$ 102,050.00	\$ 68,600.00	\$ 146,464.00	\$ 113,800.00
Right of Way - Amendment	Request to amend the purpose, terms, conditions, legal description, acreage, etc., of a right of way	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 100.00	\$ 1,800.00	\$ 2,400.00	\$ 1,750.00	\$ 2,800.00	\$ 2,900.00
Right of Entry	Request for temporary access across State land	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 100.00	\$ 550.00	\$ 400.00	\$ -	\$ -	\$ 100.00
Natural Products - Commercial - Wood Products	Request to remove wood productsfrom STL	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 200.00	\$ -	\$ -	\$ -	\$ -	\$ -
Natural Products - Incidental Use Permit	Request to remove mineral materials incidental to the original purpose of an authorized use on the State land	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 200.00	\$ 270.00	\$ 200.00	\$ 400.00	\$ 600.00	\$ 850.00
Natural Prodcuts - Water	Request for the auction of water under State leased lands for a maximum period of 10 years	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ 400.00	\$ 100.00	\$ -	\$ 500.00	\$ 1,000.00
Mineral Materials	Request for a new lease in order to remove common variety mineral materials (such as sand and gravel or cinders) for general construction purposes.	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ 2,200.00	\$ 3,600.00	\$ 1,600.00	\$ 1,000.00	\$ -
Minerals	Request for a new lease (after valid exploration permit in the same area has determined the existence of a valuable mineral deposit) in order to remove natural inorganic substances (such as copper) or organic substances (such as coal)	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ 1,700.00	\$ -	\$ -	\$ 1,500.00	\$ -
Mineral Exploration - New or Renew	Request for a new (or renew) permit to determine the existence or nonexistence of a valuable mineral deposit of natural inorganic (copper) or organic substances (coal)	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ 56,071.00	\$ 185,200.00	\$ 190,600.00	\$ 452,100.00	\$ 388,000.00
Oil & Gas - New or Renew	Request for a new (or renew) lease in order to obtain products such as oil, natural gas, helium or carbon dioxide	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ 23,000.00	\$ 13,600.00	\$ 7,300.00	\$ 46,000.00	\$ 31,000.00
Geothermal	Request for a new lease to obtain geothermal resources embracing indigenous steam, hot water or hot brines	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 500.00	\$ -	\$ -	\$ -	\$ -	\$ -

Fee	Description	Type	FY '13 Fund	Statutory or Rule Reference	Last Changed	Current Fee Charge	FY '08 Revenues	FY '09 Revenues	FY '10 Revenues	FY '11 Revenues	FY '12 Revenues
Application to Purchase	Application fee to process sales application	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 2,000.00	\$ 3,200.00	\$ 14,400.00	\$ 7,800.00	\$ 24,000.00	\$ 12,000.00
Certificate of Purchase - Issuance	Fee requested to have a Certificate of purchase prepared and issued when State land is auctioned on terms	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ 300.00	\$ -	\$ -	\$ 2,000.00	\$ -
Application for Partial Patent	Request to have a patent issued on a portion of the State land under a Certificate of Purchase	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ 400.00	\$ 6,100.00	\$ 1,300.00	\$ 1,000.00	\$ 5,000.00
Partial Patent - Issuance	Additional fee requested to prepare and issue a patent when State land is auctioned and paid for by cash	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 200.00	\$ 900.00	\$ 1,200.00	\$ 2,100.00	\$ 2,200.00	\$ 2,200.00
Selling & Administrative*	* This charge is assessed to the buyer and the Department retains amount net of any broker fees paid	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	up to 3%	\$ 3,497,238.00	\$ 1,931,937.00	\$ 1,144,751.00	\$ 2,056,539.00	\$ 2,701,166.00
Lease Reinstatement	Additional fee charged when applying for lands under a lease that has expired	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 300.00	\$ 5,580.00	\$ 2,820.00	\$ 4,700.00	\$ 5,200.00	\$ 4,850.00
Replacement of Lost Documents	Fee to replace lost document	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 50.00	\$ -	\$ -	\$ -	\$ -	\$ -
Certified Copies of Documents	Fee to create copies of documents	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$10 + \$1 per page	\$ 9,000.00	\$ 2,150.00	\$ (10,320.00)	\$ 4,850.00	\$ 2,250.00
Returned Check	Fee for returned check	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 20.00	\$ 149.00	\$ 162.00	\$ 162.00	\$ 510.00	\$ 69.00
Misc. Filings - Power of Attorney, Probate Documents and Divorce Documents	Fee for filings of misc. documents	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 50.00	\$ 240.00	\$ 160.00	\$ 220.00	\$ 200.00	\$ 200.00
Mortgage, Deed of Trust	Filing fee to process, copy, and file on-site	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$50 per lease	\$ -	\$ -	\$ -	\$ -	\$ -
Bond for Conservation or Purchase Applications for Conservation Purposes	Bond covers the minimum cost of planning, notice, advertisement and public hearing	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 1,000.00	\$ -	\$ (1,000.00)	\$ -	\$ -	\$ -
Application to Amend General	Request to relinquish land, combine leases/codes, amend terms, conditions or purpose on a short term lease or permit	Applications	Trust Land Management Fund	R12-5-1201	FY 2009	\$ 100.00	\$ 850.00	\$ 1,450.00	\$ 1,000.00	\$ 1,200.00	\$ 1,000.00

Total fees collected by the State Land Department Fees:

General Fund	<u>FY '08 Revenues</u>	<u>FY '09 Revenues</u>	<u>FY '10 Revenues</u>	<u>FY '11 Revenues</u>	<u>FY '12 Revenue</u>
	\$ 3,954,467.00	\$ 2,858,350.00	\$ 1,367,628.00	\$ 2,659,995.00	N/A
Trust Land Management Fund	N/A	N/A	\$ 456,700.00	\$ 639,886.00	\$ 3,821,268.00

Department of Financial Institutions

Inventory of Fees

Regulatory Affairs Program

Fee	Description	Type	FY '13 Fund	Statutory or Rule Reference	Last Changed	Current Fee Charge	FY '08 Revenues	FY '09 Revenues	FY '10 Revenues	FY '11 Revenues	FY '12 Revenue
Advance Fee Loan Broker Home Office License	Advance Fee Loan Broker Home Office License - Initial license (prorated) and annual renewal	Licenses	General Fund	6-1304	Pre-1994	\$25	\$ 75	\$ 75	\$ 775	\$ 400	\$ 1,000
Advance Fee Loan Broker Home Office Application	Advance Fee Loan Broker Home Office - Initial Application Fees	Applications	General Fund	6-1304	Pre-1994	\$50	\$ 50	\$ -	\$ -	\$ 1,350	\$ 100
Banks (State-Chartered) Applications	Banks (State-Chartered) - Initial Application Fees	Applications	General Fund	6-126.A.1-4, 11, 21-22	Pre-1994	\$1000 (amendments)- \$10,000 (home office application)	\$ 52,000	\$ 9,000	\$ -	\$ 19,000	\$ 9,500
Collection Agency Licenses/Renewals	Collection Agency Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.3	Pre-1994	\$200 for a branch, \$600 for home office	\$ 403,550	\$ 412,550	\$ 412,600	\$ 426,000	\$ 438,850
Collection Agency Applications	Collection Agency - Initial Application Fees	Applications	General Fund	6-126.A.15, 18, 28	Pre-1994	\$250 (amendment), \$500 (branch), \$1,500 (home office)	\$ 141,300	\$ 210,750	\$ 177,300	\$ 167,000	\$ 114,400
Commercial Mortgage Banker Licenses/Renewals	Commercial Mortgage Banker Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.7	Pre-1994	\$250 branch, \$1,250 home office	\$ 17,875	\$ 14,125	\$ 22,650	\$ 13,175	\$ 16,938
Commercial Mortgage Banker Applications	Commercial Mortgage Banker - Initial Application Fees	Applications	General Fund	6-126.A.13, 18, 27	Pre-1994	\$250 (amendment), \$500 (branch), \$1,500 (home office)	\$ 3,400	\$ 2,350	\$ 6,938	\$ 2,300	\$ 600
Commercial Mortgage Broker Licenses/Renewals	Commercial Mortgage Broker Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.5, 8	2009	\$200-500	N/A	N/A	\$ 1,938	\$ 2,450	\$ 8,500
Consumer Lender Licenses/Renewals	Consumer Lender Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.9	Pre-1994	\$200 branch, \$1,000 home office	\$ 63,850	\$ 36,700	\$ 39,538	\$ 86,700	\$ 63,600
Consumer Lender Applications	Consumer Lender - Initial Application Fees	Applications	General Fund	6-126.A.13, 18	Pre-1994	\$500 branch, \$1,500 home office	\$ 21,900	\$ 14,150	\$ 42,000	\$ 77,550	\$ 15,550
Credit Union (State-Chartered) Applications	Credit Union (State-Chartered) - Initial Application Fees	Applications	General Fund	6-126.A.8, 9, 23, 24	Pre-1994	\$100 -\$500 application, amendments and mergers, \$1000 convert from Federal Charter	\$ 750	\$ 1,250	\$ 1,000	\$ 500	\$ -
Debt Management Company Licenses/Renewals	Debt Management Company Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.2	Pre-1994	\$200 branch, \$500 home office	\$ 17,475	\$ 21,925	\$ 20,800	\$ 17,425	\$ 19,850
Debt Management Company Applications	Debt Management Company - Initial Application Fees	Applications	General Fund	6-126.A.14, 19	Pre-1994	\$250 branch, \$800 home office	\$ 3,600	\$ 7,900	\$ 5,000	\$ 5,950	\$ 2,000
Escrow Agent Licenses/Renewals	Escrow Agent Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.1	Pre-1994	\$250 branch, \$1,000 home office	\$ 266,825	\$ 195,313	\$ 182,150	\$ 167,063	\$ 192,688
Escrow Agent Applications	Escrow Agent - Initial Application Fees	Applications	General Fund	6-126.A.13, 18	Pre-1994	\$500 branch, \$1,500 home office	\$ 72,400	\$ 72,675	\$ 61,050	\$ 44,250	\$ 51,200
Loan Originator Licenses/Renewals	Loan Originator Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	Fin'l Svcs Fund	6-126.C.12; R20-4-1304	2008	\$150	\$ -	\$ 113	\$ 231,337	\$ 863,775	\$ 820,050
Loan Originator Applications	Loan Originator - Initial Application Fees	Applications	Fin'l Svcs Fund	6-126.A.33; R20-4-1304, 6-991.03.B.6; R20-4-1303	2008	\$350	\$ -	\$ 30,100	\$ 1,172,800	\$ 823,650	\$ 630,550
Loan Originator Contributions to Recovery Fund	Loan Originator Contributions to Recovery Fund - Initial application (and also at each annual renewal until fund reaches \$2 million)	Other	Mortgage Recovery Fund	6-991.03.B.6; R20-4-1303	2008	\$100	N/A	\$ -	\$ 212,800	\$ 362,500	\$ 309,350
Money Transmitter Licenses/Renewals	Money Transmitter Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.10	Pre-1994	\$25 branch, \$500 home office	\$ 90,306	\$ 73,625	\$ 76,726	\$ 76,681	\$ 76,531

Fee	Description	Type	FY '13 Fund	Statutory or Rule Reference	Last Changed	Current Fee Charge	FY '08 Revenues	FY '09 Revenues	FY '10 Revenues	FY '11 Revenues	FY '12 Revenue
Money Transmitter Applications	Money Transmitter - Initial Application Fees	Applications	General Fund	6-126.A.18, 28, 30	Pre-1994	\$25 authorized delegate, \$250 amendment, \$500 branch, \$1,500+25/AD home office	\$ 80,375	\$ 101,088	\$ 82,050	\$ 70,175	\$ 73,025
Money Transmitter Acquire Control	Money Transmitter - Fee to apply for a Change of Control	Other	General Fund	6-126.A.31	Pre-1994	\$2,500	\$ 20,000	\$ 2,500	\$ 10,000	\$ 7,500	\$ 5,000
Mortgage Banker Licenses/Renewals	Mortgage Banker Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.6	Pre-1994	\$250 branch, \$750 or \$1,250 home office	\$ 893,875	\$ 462,525	\$ 600,562	\$ 554,800	\$ 567,650
Mortgage Banker Applications	Mortgage Banker - Initial Application Fees	Applications	General Fund	6-126.A.13, 18, 27	Pre-1994	\$250 amendment, \$500 branch, \$1,500 home office	\$ 368,350	\$ 244,825	\$ 245,000	\$ 252,750	\$ 251,388
Mortgage Broker Licenses/Renewals	Mortgage Broker Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.5, 8	Pre-1994	\$200-\$500	\$ 683,188	\$ 560,674	\$ 265,175	\$ 187,250	\$ 187,613
Mortgage Broker Applications	Mortgage Broker - Initial Application Fees	Applications	General Fund	6-126.A.14, 19, 27	Pre-1994	\$250 amendment and branch, \$800 home office	\$ 260,663	\$ 98,650	\$ 94,400	\$ 36,288	\$ 55,350
Mortgage Broker Test Fees	Mortgage Broker Test Fees - Test administered in-house by the Department	Examinations	General Fund	6-908.C	Pre-1994	\$50	\$ 14,075	\$ 5,450	\$ 3,000	\$ 1,750	\$ 1,750
Motor Vehicle Dealer Licenses/Renewals	Motor Vehicle Dealer Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.4	Pre-1994	\$150 (home office only)	\$ 89,888	\$ 94,062	\$ 79,450	\$ 106,675	\$ 99,488
Motor Vehicle Dealer Applications	Motor Vehicle Dealer - Initial Application Fees	Applications	General Fund	6-126.A.17	Pre-1994	\$300 (home office only)	\$ 33,863	\$ 44,000	\$ 35,338	\$ 32,500	\$ 30,600
Premium Finance Company Licenses/Renewals	Premium Finance Company Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.D	Pre-1994	\$300	\$ 17,100	\$ 17,250	\$ 16,650	\$ 13,200	\$ 12,150
Premium Finance Company Applications	Premium Finance Company - Initial Application Fees	Applications	General Fund	6-126.D	Pre-1994	\$0	\$ 300	\$ 600	\$ 500	\$ 1,600	\$ 1,050
Registered Exempt Person Licenses/Renewals	Registered Exempt Person Licenses/Renewals - Initial registration and annual renewal	Licenses	General Fund	6-912.C	2011	\$150	N/A	N/A	N/A	N/A	\$ 188
Registered Exempt Person License Application	Registered Exempt Person - Initial Application Fees	Applications	General Fund	6-912.C	2011	\$250-300	N/A	N/A	N/A	N/A	\$ 300
Sales Finance Company Licenses/Renewals	Sales Finance Company Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.2	Pre-1994	\$200 branch, \$500 home office	\$ 304,600	\$ 299,800	\$ 327,725	\$ 403,300	\$ 360,225
Sales Finance Company Applications	Sales Finance Company - Initial Application Fees	Applications	General Fund	6-126.A.14,19	Pre-1994	\$250 branch, \$800 home office	\$ 86,650	\$ 84,650	\$ 164,675	\$ 127,850	\$ 91,850
Trust Company Licenses/Renewals	Trust Company Licenses/Renewals - Initial license (prorated) and annual renewal	Licenses	General Fund	6-126.C.1	Pre-1994	\$250 branch, \$1,000 home office	\$ 5,250	\$ 4,000	\$ 4,000	\$ 3,000	\$ 3,000
Trust Company Applications	Trust Company - Initial Application Fees	Applications	General Fund	6-126.A.12, 18	Pre-1994	\$500 branch, \$5,000 home office	\$ -	\$ -	\$ -	\$ -	\$ -
Misc Fee Name Change	Fee to change the name on a license	Other	General Fund	6-126.A.29	Pre-1994	\$250	Not available	Not available	Not available	Not available	Not available
Misc Fee Address Change (Enterprise)	Fee to change the address on a license (Enterprise)	Other	General Fund	6-126.A.25	Pre-1994	\$50	Not available	Not available	Not available	Not available	Not available
Misc Fee Duplicate License	Fee to request a duplicate license	Other	General Fund	6-126.A.26	Pre-1994	\$100	Not available	Not available	Not available	Not available	Not available
Commercial Mortgage Broker Applications	Commercial Mortgage Broker - Initial Application Fees	Applications	General Fund	6-126.A.14, 19, 27	2009	\$250 (amendment, branch), \$800 (home office)	N/A	N/A	\$ 550	\$ 6,450	\$ 1,750

Supervision Program

Fee	Description	Type	Fund	Statutory or Rule Reference	Last Changed	Current Fee Charge	FY '08 Revenues	FY '09 Revenues	FY '10 Revenues	FY '11 Revenues	FY '12 Revenue
Advance Fee Loan Broker Examinations	Advance Fee Loan Broker - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ -				
Banks (State-Chartered) Examinations	Banks (State-Chartered) - Fee charged for conducting examinations that are in addition to the regular exam required	Examinations	General Fund	6-125.B.3	Pre-1994	\$65/hr	\$ -	\$ -	\$ -	\$ -	\$ -
Banks (State-Chartered) Assessments	Banks (State-Chartered) - Annual Examination Assessment	Assessments	General Fund	6-125.A.1; 6-125.E	Pre-1994	Based on Assets	\$ 557,385	\$ 571,247	\$ 566,356	\$ 506,002	\$ 460,820

Fee	Description	Type	FY '13 Fund	Statutory or Rule Reference	Last Changed	Current Fee Charge	FY '08 Revenues	FY '09 Revenues	FY '10 Revenues	FY '11 Revenues	FY '12 Revenue
Collection Agency Examinations	Collection Agency - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 101,505	\$ 101,196	\$ 81,461	\$ 34,363	\$ 91,712
Commercial Mortgage Banker Examinations	Commercial Mortgage Banker - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ -	\$ 715	\$ -	\$ -	\$ -
Commercial Mortgage Broker Examinations	Commercial Mortgage Broker - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	N/A	N/A	\$ -	\$ -	\$ -
Consumer Lender Examinations	Consumer Lender - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.6	Pre-1994	\$65/hr	\$ 9,323	\$ 7,410	\$ -	\$ -	\$ -
Credit Union (State-Chartered) Examinations	Credit Union (State-Chartered) - Fee charged for conducting examinations that are in addition to the regular exam required	Examinations	General Fund	6-125.B.3	Pre-1994	\$65/hr	\$ -	\$ -	\$ -	\$ -	\$ -
Credit Union (State-Chartered) Assessments	Credit Union (State-Chartered) - Annual Examination Assessment	Assessments	General Fund	6-125.A.3; 6-125.E	Pre-1994	Based on Assets	\$ 522,448	\$ 530,488	\$ 534,635	\$ 506,561	\$ 497,428
Debt Management Company Examinations	Debt Management Company Examination - Fee charged for conducting an examination	Assessments	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 4,843	\$ 12,740	\$ -	\$ -	\$ -
Escrow Agent Examinations	Escrow Agent - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 182,000	\$ 283,775	\$ 110,988	\$ 161,954	\$ 171,795
Loan Originator Examinations	Loan Originator - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	N/A	N/A	N/A	N/A	N/A
Money Transmitter Examinations	Money Transmitter - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 83,888	\$ 100,740	\$ 63,635	\$ 68,099	\$ 76,143
Mortgage Banker Examinations	Mortgage Banker - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 100,876	\$ 168,352	\$ 49,480	\$ 16,153	\$ 59,215
Mortgage Broker Examinations	Mortgage Broker - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	65/hr	\$ 103,195	\$ 76,987	\$ 35,729	\$ 22,604	\$ 46,865
Motor Vehicle Dealer Examinations	Motor Vehicle Dealer - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 1,430	\$ 2,210	\$ -	\$ -	\$ -
Pre-Need Funeral Trust Examinations	Pre-Need Funeral Trust - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ -	\$ 1,605	\$ -	\$ -	\$ 19,955
Premium Finance Company Examinations	Premium Finance Company - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 11,045	\$ 8,645	\$ -	\$ -	\$ 1,040
Registered Exempt Person Examination	Registered Exempt Person - Fee charged for conducting an examination	Examinations	General Fund	6-912.C	2011		N/A	N/A	N/A	N/A	\$ -
Sales Finance Company Examinations	Sales Finance Company - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.4	Pre-1994	\$65/hr	\$ 720	\$ 260	\$ -	\$ -	\$ 15,470
Trust Company Examinations	Trust Company - Fee charged for conducting an examination	Examinations	General Fund	6-125.B.1	Pre-1994	\$65/hr	\$ 11,115	\$ 29,039	\$ 27,138	\$ 26,699	\$ 29,218

Note 1: Application fees (including misc application fees) are all recorded to a single account number in AFIS. Therefore, fee revenue cannot be broken out for each of the individual fees listed on this inventory. While more detailed information is captured within DFI's licensing database, such information is not readily available/easily accessible. The limitations of this antiquated system - which is no longer supported by the vendor - necessitated the purchase of a new licensing system. A system conversion project is currently underway, with implementation expected this fall.

Total Revenues Collected by DFI Fees

General Fund	<u>FY '08 Revenues</u>	<u>FY '09 Revenues</u>	<u>FY '10 Revenues</u>	<u>FY '11 Revenues</u>	<u>FY '12 Revenue</u>
Financial Services Fund	\$ 5,703,306	\$ 4,987,871	\$ 4,448,962	\$ 4,255,317	\$ 4,223,342
Mortgage Recovery Fund	\$ -	\$ 30,213	\$ 1,404,137	\$ 1,687,425	\$ 1,450,600
All revenue	N/A	\$ -	\$ 212,800	\$ 362,500	\$ 309,350
	\$ 5,703,306	\$ 5,018,084	\$ 6,065,899	\$ 6,305,242	\$ 5,983,292